

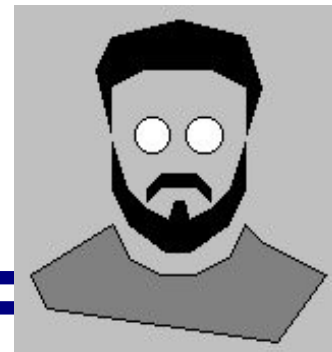


# **Software Architecture**

A Short Introduction

Alar Raabe

# Alar Raabe



- Over 30 years in IT
  - held various roles from programmer to a software architect and to enterprise business architect
- 15 years in insurance and last 6 years in banking domain
  - developed model-driven technology for insurance applications product-line (incl. models, method/process, platform/framework and tools)
  - developing/implementing business architecture framework and methods for a banking group
- Interests
  - software engineering (tools and technologies)
  - software architectures
  - model-driven software development
  - industry reference models (e.g. IBM IAA, IFW)
  - domain specific languages

# Content

Software architecture is what software architects do

Kent Beck

- What is Software Architecture
  - Design vs. Architecture, Early Views and Software Architecture Discipline
  - Software Architecture related Concepts and Terminology (IEEE 1741 | ISO/IEC 42010)
- Software Architectural Styles
  - Classification of Software Architectural Styles
  - Examples of Different Software Architectural Styles
- Software Quality Attributes
  - Categories of Software Quality Attributes
  - Quality Attribute Driven Design
- Value of Software Architecture
  - How to Evaluate Software Architectural Decisions
  - Value of Software Architecture
- Conclusions

# Architecture

Architecture is about:

- ❖ Durability (*firmitas*)
- ❖ Utility (*utilitas*)
- ❖ Beauty (*venustas*)

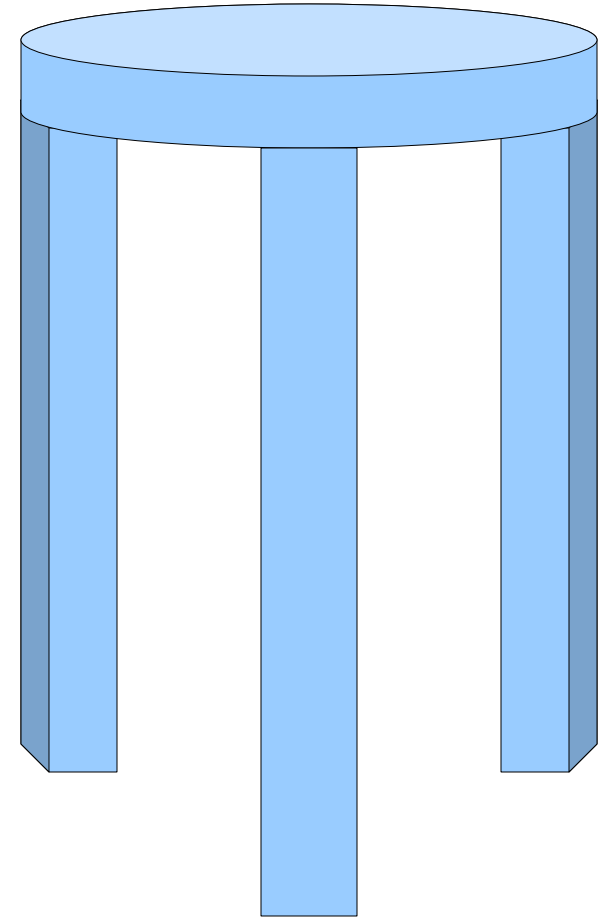
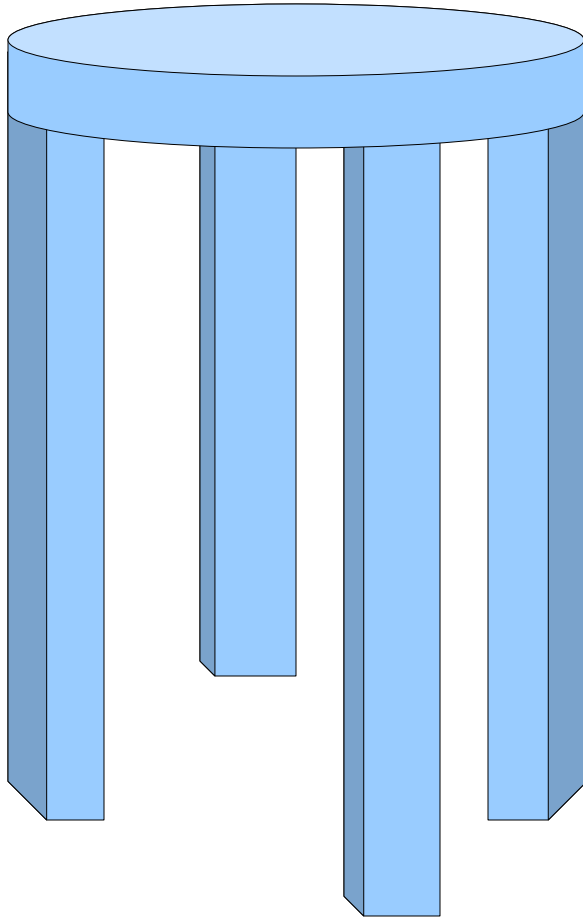
Marcus Vitruvius Pollio  
(Rome, 1<sup>st</sup> century BC)

- Merriam-Webster :: Architecture (n)
  - art or science of building
  - unifying or coherent form or structure
  - manner in which the components of the system are organized and integrated
- Wikipedia :: Architecture  
(Greek: *αρχιτεκτονική* and Latin: *architectura*)
  - 2012
    - the term "architecture" has been adopted to describe the activity of designing any kind of system, and is commonly used in describing information technology
  - 2011
    - art and science of designing (*buildings and other physical*) structures
    - style and method of design and construction of (*buildings and other physical*) structures
  - 2009
    - *as documentation, usually based on drawings, architecture defines the structure and/or behavior of a system that is to be or has been constructed*

# Example

## Two kinds of stools

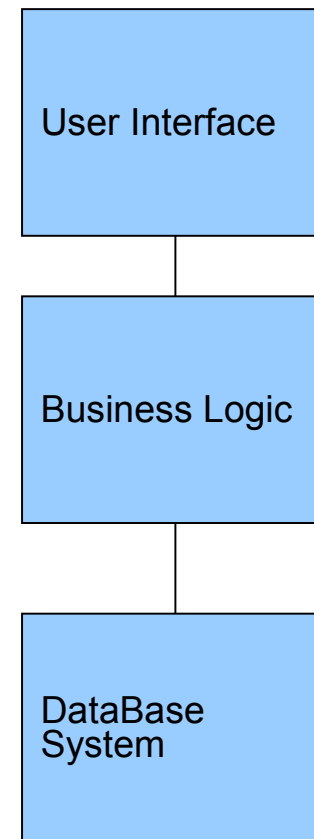
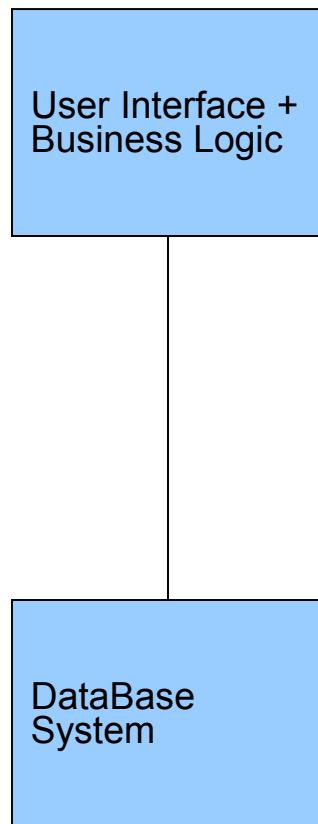
What's the difference ?  
How many legs is good ?



# Example

## Two kinds of web applications

How many layers/tiers is good ?  
What's the difference ?



# Design vs. Architecture

All architecture is design but  
not all design is architecture

Grady Booch

- Design = Plan
  - adaptation of means (*what we have*) to ends (*what we want*)
- Software Design can be viewed on many levels
  - design of higher levels is architecture for the lower levels
- Booch
  - architecture represents significant design decisions that shape a system, where *significant is measured by cost of change*
- Eden
  - Architectural decisions and specifications are
    - **intensional** (*generic – applicable to many implementations*), and
    - **non-local** (*applicable to entire system*)

The Intension/Locality Thesis		
<b>Non-Local</b>	<b>Intensional</b>	<b>Architecture</b>
Local	Intensional	Design
Local	Extensional	Implementation

# Early Views on Software Architecture

Structure matters !

- Turing & Wheeler (1946-50)
  - reuse of program code and modularization – (closed) subroutine
  - subroutine library (reusability, reliability, unit testing (testability), multiple versions with different non-functional qualities, ...)
- Iverson & Brooks (1964-69)
  - architecture is a conceptual structure
  - architecture is the complete and detailed specification of the user interface (!)
- Dijkstra, Parnas & Jackson (1972-76)
  - separation of concerns – isolation, encapsulation, modularization
  - program families can be described by a decision trees
  - *structure influences non-functional 'qualities' of system*
  - *structure of program is defined by domain structures*



# Software Architecture as Discipline

elements + form/structure + rationale/principles  
(*what*) (how) (why)

- Perry & Wolf (1992)
  - Software Architecture = { Elements, Form, Rationale }
    - a set of architectural (or, if you will, design) **elements** that have a particular form (of three different classes: processing, data, and connecting elements),
    - architectural **form**, consisting of weighted properties and relationships, and
    - **rationale** for various choices made in defining an architecture
- Garlan & Shaw (1994)
  - a collection of computational components – or simply **components** – together with a description of the interactions between these components – the **connectors**
- Bass, Clements, Kazman (1997)
  - the structure or structures of the system, which comprise software **components**, the externally visible properties of those components, and the **relationships** among them
- Eden, Kazman (2003)
  - strategic design decisions/statements (global design constraints like programming paradigms, architectural styles, component-based software engineering standards, design principles, and law-governed regularities)

# Agile and Software Architecture

Architecture is the important stuff – whatever that is

Ralph Johnson

- Johnson (...)
  - a shared understanding of the system design of the expert developers working on the project (incl. how the system is divided into components and how the components interact through interfaces)
  - the decisions that you wish you could get right early in a project
- Beck (2000)
  - Expressed in XP through system metaphor, which “helps everyone to understand basic elements and their relationships”
  - Should be created by first iteration
- Fowler (2003)
  - a word we use when we want to talk about design but want to puff it up to make it sound important

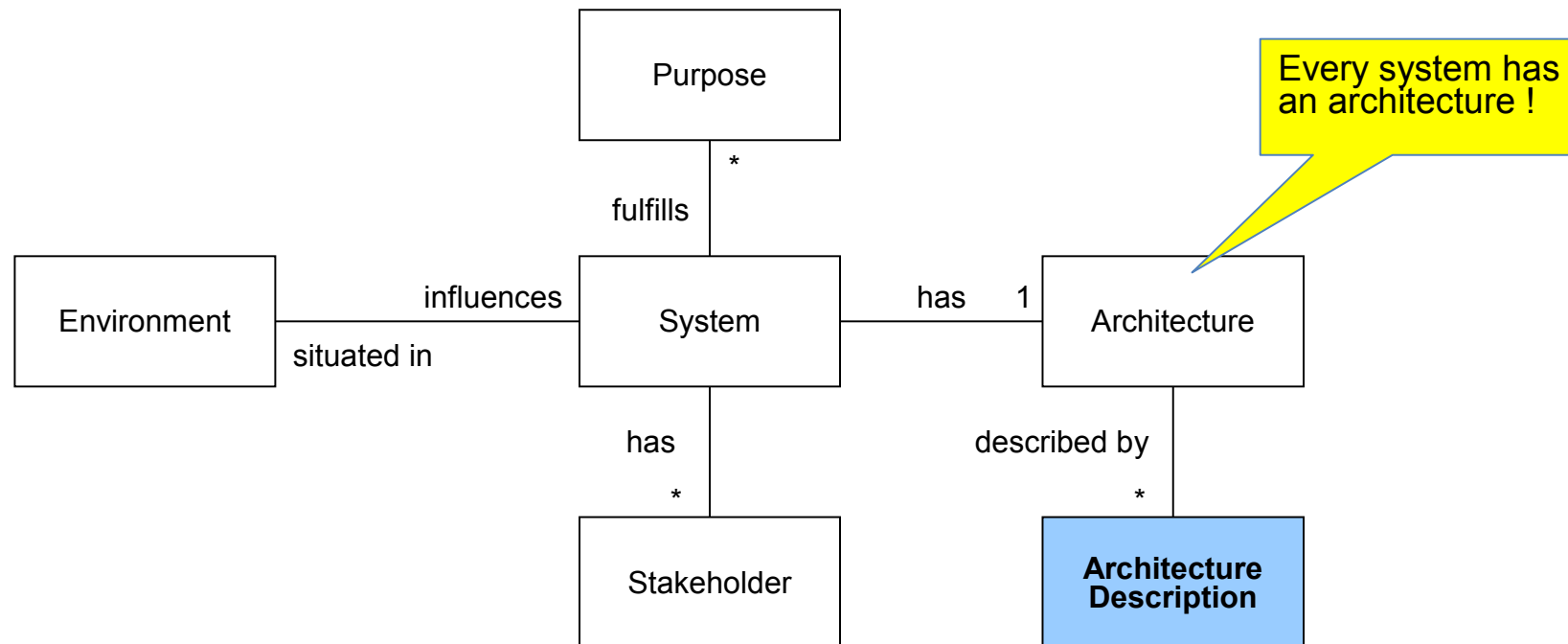
# Software Architecture Standards

architecture ≠ architecture description

- Open Group TOGAF 9 Enterprise Architecture Framework
  - a formal description of a system, or a detailed plan of the system at component level to guide its implementation
  - the structure of **components**, their **interrelationships**, and the **principles and guidelines** governing their design and evolution over time
- IEEE 1741 | ISO/IEC 42010 Systems and Software Engineering – Architecture Description
  - the fundamental conception of a system in its environment embodied in **elements**, their **relationships** to each other and to the environment, and **principles** guiding system design and evolution
  - Architecture descriptions are for ...
    - *Communicating* among the system's stakeholders
    - *Planning and Managing* system development and operations
    - *Evaluating and Comparing* systems architectures, and verifying system's implementation for compliance with its intended architecture

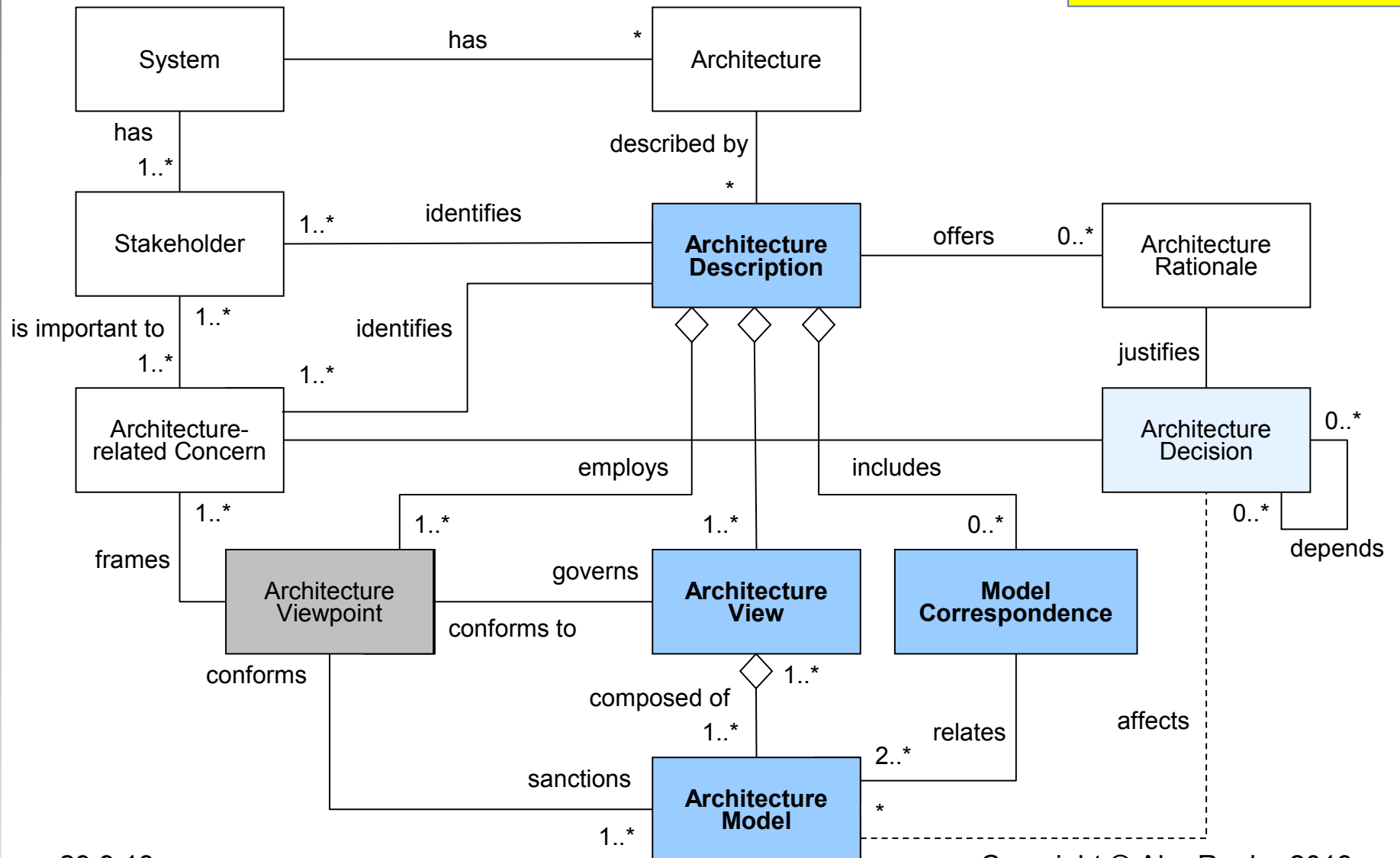
# System, Architecture and Architecture Description

ISO/IEC 42010



# Architecture Description – set of Views

ISO/IEC 42010



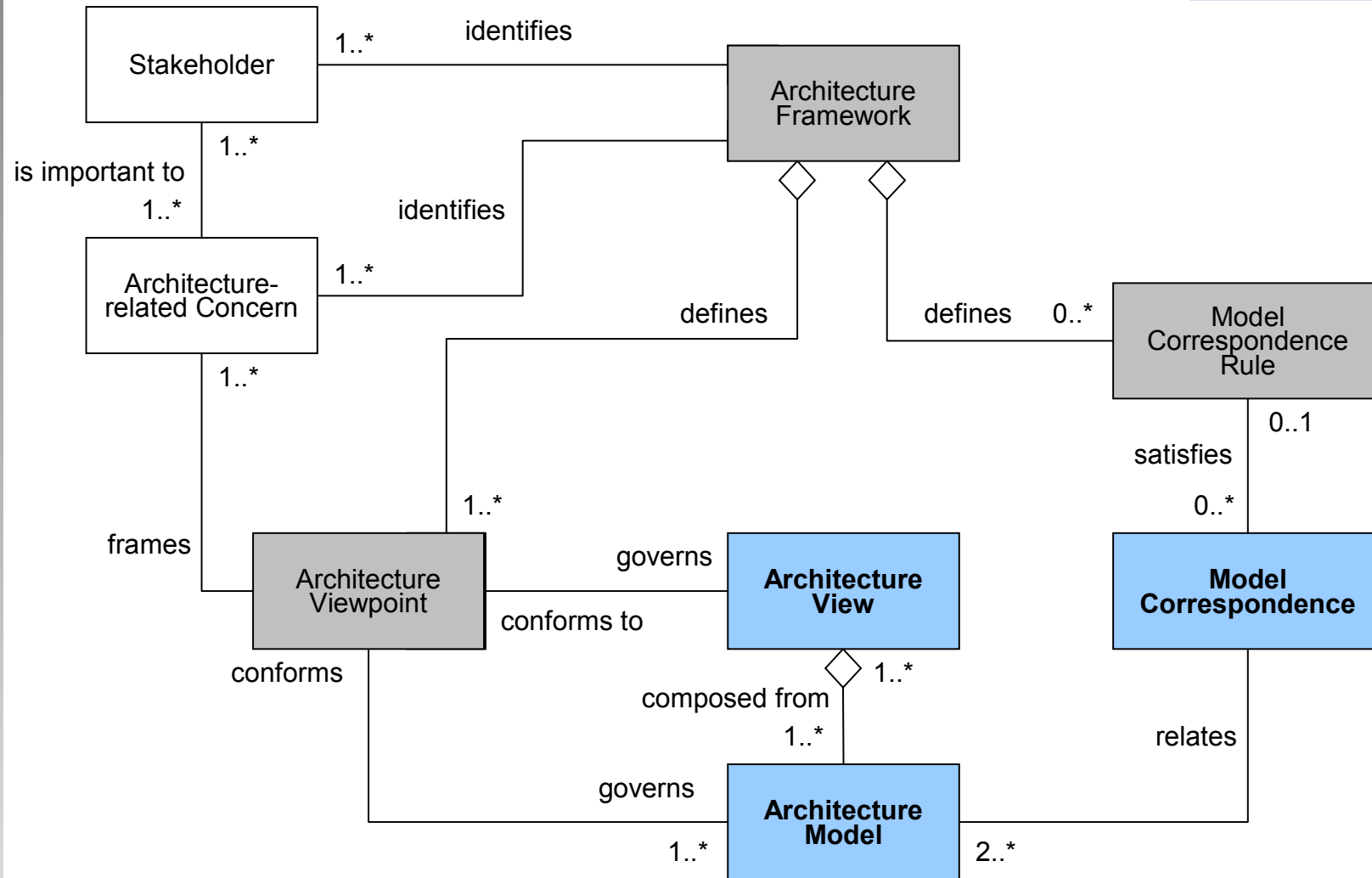
# Stakeholders & Concerns & Decisions

ISO/IEC 42010

- Stakeholders
  - Users and operators
  - Acquirers and owners
  - Suppliers, developers, builders and maintainers
- Architecture-related Concerns
  - The suitability of the architecture for achieving the system's purposes
  - The feasibility of constructing the system
  - The potential risks of the system to its stakeholders throughout its life cycle
  - Maintainability, deployability, and evolvability of the system
- Architecture Decisions are decisions
  - regarding architecturally significant requirements
  - needing a major investment of effort and time
  - affecting key stakeholders or a number of stakeholders
  - needing intricate or non-obvious reasoning
  - that are highly sensitive to changes
  - that could be costly to change

# Architecture Framework – set of Viewpoints

ISO/IEC 42010



## Sensor Collection Service Architecture Description

ISO/IEC 42010

- Purpose (of the System)
  - Subscription-based service of providing access to a widely-distributed set of sensors
- Stakeholders
  - Users, developers, operators
- Architecture-related Concerns (by Stakeholders)
  - ROI (operators)
  - Timely delivery of sensor data (users)
  - Understanding of interactions between system elements (developers)
- Viewpoints (by Architecture-related Concerns)
  - Financial: cash-flow spreadsheet (ROI)
  - Operational: time-line diagram (timely delivery of sensor data)
  - System: system component diagram (understanding of interactions between system elements)
- View Consistency and Correspondence Rules
  - Each node in component diagram should appear at least once in time-line diagram
- Views (by Viewpoints)
  - Profit spreadsheet & profitability curve (cash-flow spreadsheet)
  - Time-line diagram (time-line diagram)
  - Data-flow diagram (system component diagram)



# Conclusion – What is Software Architecture

architecture is a model of system  
and architecture description is a  
model of architecture

- Software Architecture is a
  - *fundamental conception* of a (software) system in its
  - **environment** embodied in
  - **elements**, their
  - **relationships** to each other and to the environment, and
  - **principles** guiding software system design and evolution
- Software Architecture Description is a
  - collection of **related (corresponding) models**, organized into cohesive groups of
  - *synthetic* (constructed) or *projective* (derived) **views**, defined by **viewpoints** according to the related set of **concerns** (*in architecture framework*)
- Software Architecture Model is
  - **work product** that can be used to answer questions about the software system
    - M. Minsky 1968: “to an observer B, an object A\* is a model of an object A to the extent that B can use A\* to answer questions that interest him about A”
    - IEEE SE VOCAB: an interpretation of a theory for which all the axioms of the theory are true, or a semantically closed abstraction of a system or a complete description of a system from a particular perspective

# Content

Each style provides an abstraction for the interactions of components, capturing the essence of a pattern of interaction

Roy Fielding

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# Software Architectural Styles

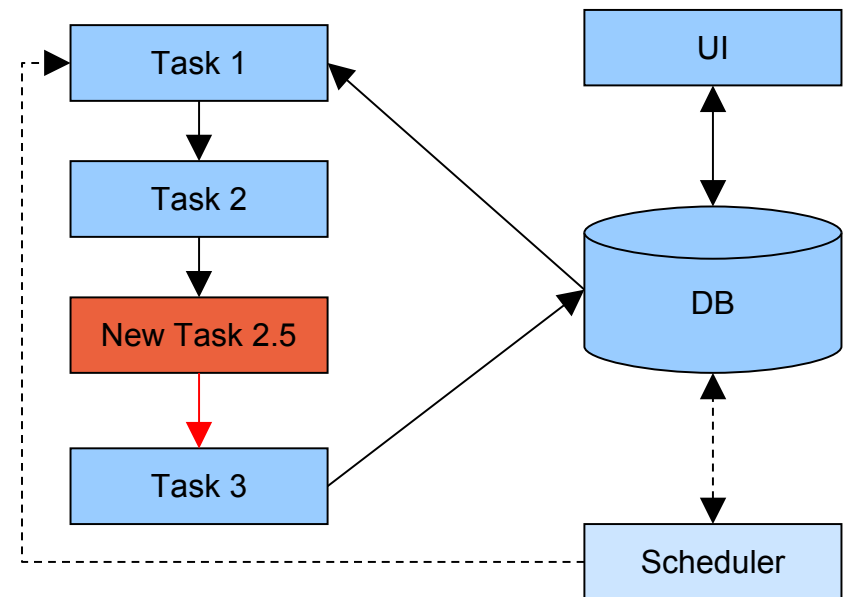
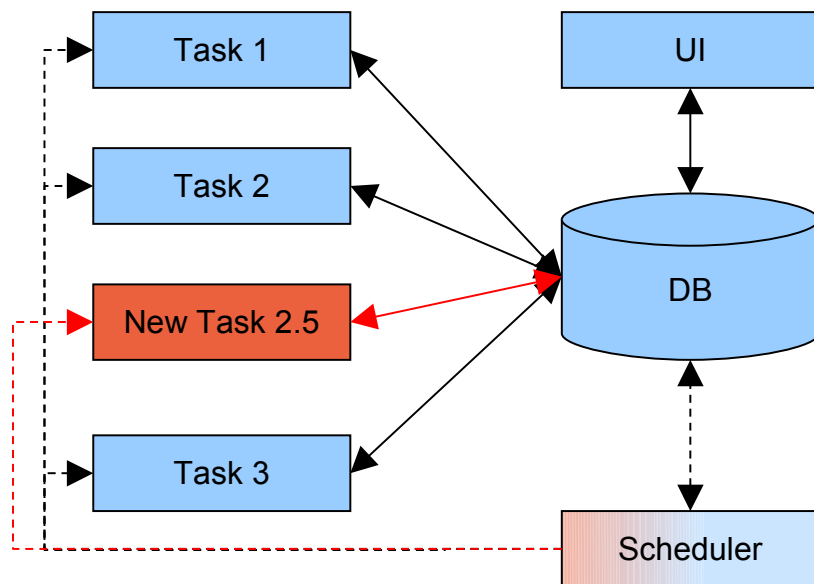
families of system are related by shared structural and semantic properties

- Different Architectures – Different Properties
- Different Levels of Commonality: Idioms, Patterns, Styles
- Software Architectural Styles
  - What is a Software Architectural Style
  - Classification of Architectural Styles
- Examples of Different Software Architectural Styles
  - Dataflow Systems – Pipes and Filters
  - Data-Centric Systems (Repositories) – Blackboard
  - Independent Components – Service Oriented Architecture (SOA)
  - Complex (Compound) Style – REST (Representational State Transfer)
- Building a Software Architectural Style
- Emerged Software Architecture

# Example

## Different Architectures – Different Properties

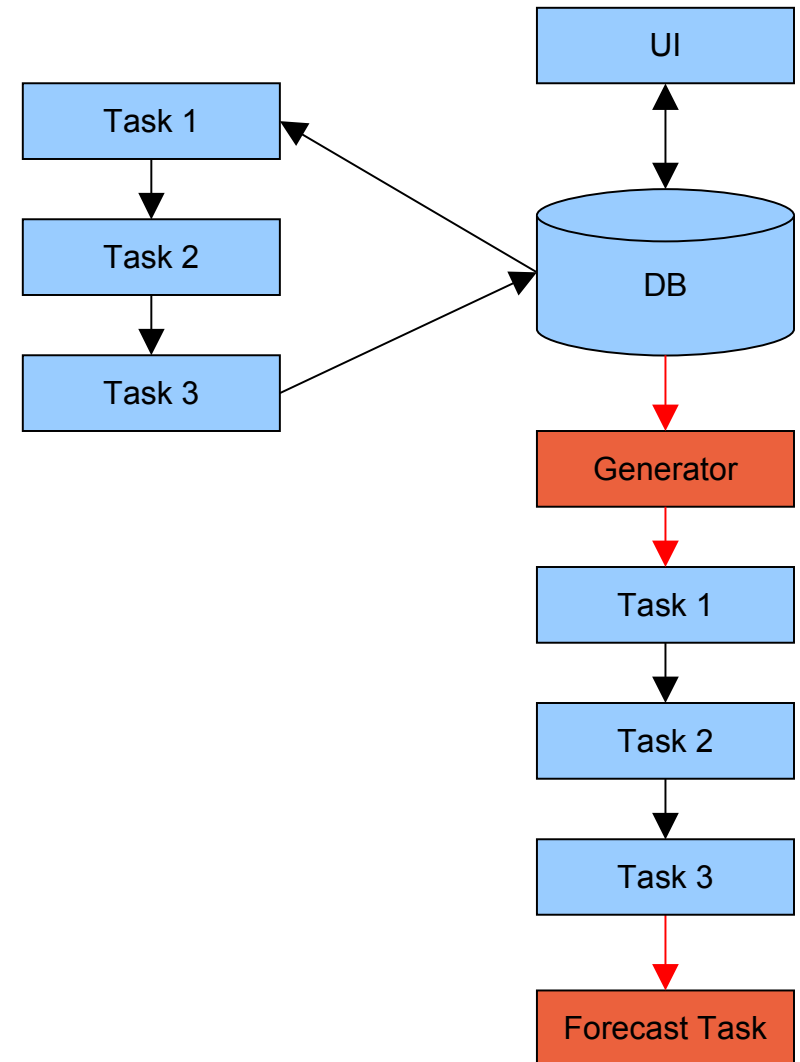
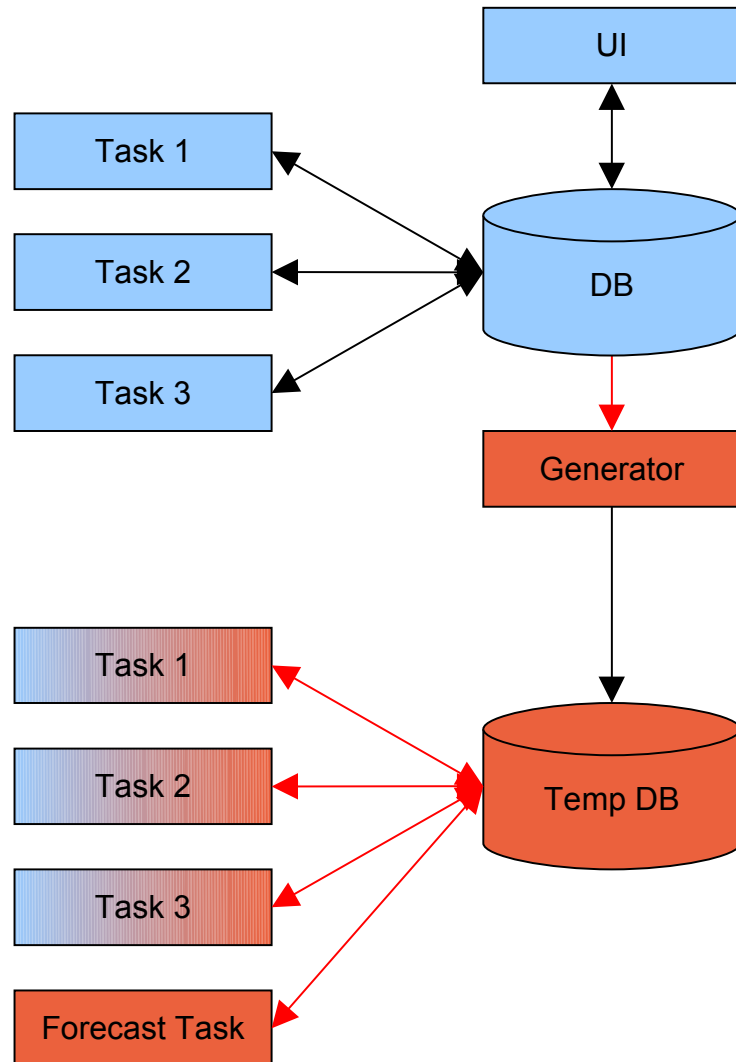
adding new task



# Example

## Different Architectures – Different Properties

adding forecasts of portfolio



# Different Levels of Commonality: Idioms, Patterns, Styles

reuse of (design) knowledge

- Specific to a (programming) language
  - Software Idioms – coding/programming
    - describe usage of (programming) language for certain (simple) problems
  - Programming Style – programming
    - a consistent set of idioms (e.g. fluent style, functional style, ...)
- (Programming) language independent
  - Design Patterns – design
    - describe standard solutions to certain common functional problems
  - Architecture Styles – architectural design
    - specific vocabulary and rules for architectural design
    - define a class of systems with specific properties
    - describe standard solution to a class of non-functional problems

# What is a Software Architectural Style

a coherent package of pre-made design decisions

- Characterizes a family/class of system architectures that are related by shared structural and semantic properties
- Defines
  - a vocabulary of design elements
  - design rules, or constraints (incl. topology)
  - semantic interpretation
  - analyses that can be performed on systems built in that style
- Benefits
  - *Design Reuse* – well-understood solutions applied to new problems
  - *Code Reuse* – shared implementations of invariant aspects of a style
  - *Understandability of System Organization* – e.g. meaning of “client-server”
  - *Interoperability* – supported by style standardization
  - *Style-Specific Analysis* – enabled by the constrained design space
  - *Visualizations* – style-specific descriptions matching engineer’s mental models (e.g. stack diagrams for layers)

# Classification of Architectural Styles

Boxology – Shaw & Clements

- **Constituent Parts: Components and Connectors**
  - Component – unit of software that performs some function at run-time
  - Connector – mechanism that mediates communications
- **Control Issues**
  - Topology – geometric form of control flow (e.g. linear, tree, acyclic graph, arbitrary)
  - Synchronicity – (in)dependence of components' upon each others' actions
  - Binding Time – when identity of partner for control flow is established
- **Data Issues**
  - Topology – geometric form of data flow
  - Continuity – new data generation (e.g. continuously, sporadically (at discrete times))
  - Mode – how data is made available (e.g. passed, shared)
  - Binding Time – when identity of partner for data flow is established
- **Control/Data Interaction Issues**
  - Shape – isomorphism of the control flow and data flow shapes
  - Directionality – conformance of directions of control and data flow
- **Type of Reasoning**



# Classes of Architectural Styles

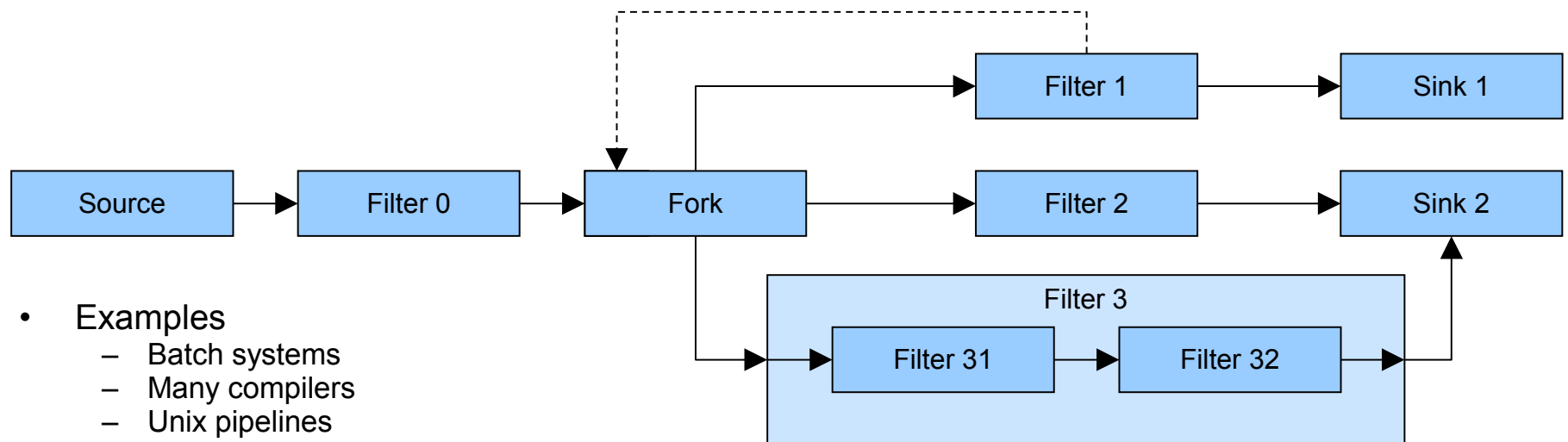
Boxology – Shaw & Clements

- Data-flow Systems
  - Batch sequential, Data Flow Network, Pipes and Filters
- Call-and-return Systems (*explicit calls*)
  - Main programs and subroutines, Abstract Data Types, OO systems, Client-Server, Layered (hierarchical layers), Three-tier
- Independent Components (*implicit calls*)
  - Communicating processes, Event-driven systems, SOA
- Virtual Machines
  - Interpreters, Rule-based systems
- Data-Centered Systems (Repositories)
  - Database-centric, Hypertext systems, Blackboards
- Complex (Compound) Styles
  - REST, C2 (Chiron-2), ...

## Data-flow System

shared nothing !

- Data-flow Systems – Pipes and Filters (Data Flow Network)
  - Components (sources, filters, sinks)
  - Connectors (pipes)
  - Constraints (is feedback allowed or not, are pipes buffering, ...)
  - Theory (Queueing Theory (K. Erlang 1909))



- Examples
  - Batch systems
  - Many compilers
  - Unix pipelines
  - Spreadsheets
  - JDPF (Java Data Processing Framework)
  - Signal and Graphic processors

## Data-flow System – Evaluation

shared nothing !

- Advantages



- Disadvantages



## Data-flow System – Evaluation

shared nothing !

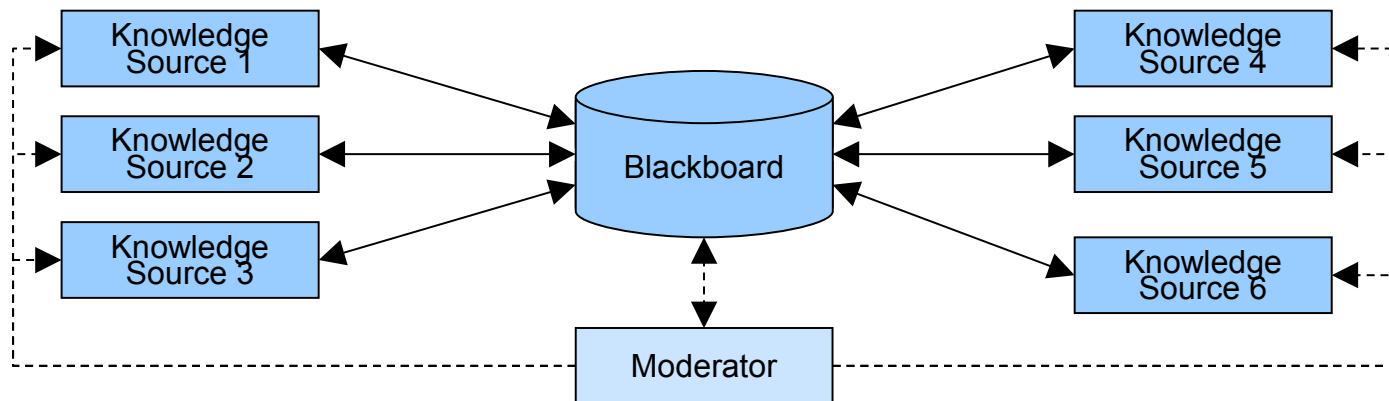
- Advantages
  - Modifiability & Reuse (low coupling, encapsulation)
    - Filters can be treated as black boxes
  - Ease of construction
    - System can be hierarchically composed (new higher order filters can be created by combining lower order pipes and filters, etc.)
  - Flexibility
    - Construction (system configuration) can often be delayed until run time (late binding)
  - Run-time scalability
    - It is easy to run a pipe-and-filter system on parallel processors
  - Understandability/Analyzability
    - Supports well certain analyses (throughput, latency, deadlock)
- Disadvantages
  - Difficult to create interactive applications
  - Common data representation
    - The lowest common denominator (typically byte or character streams)
  - Parsing overhead
    - Every filter may introduce parsing and un-parsing of the data stream
  - Unknown memory requirements and deadlock possibility (e.g. sort filter has this problem)

# Example

## Data-Centered Systems (Repositories)

shared everything !

- Data-Centered Systems – Blackboard
  - Components (knowledge sources, blackboard; opt. *moderator*)
  - Connectors (requests to and/or notifications from blackboard)
  - Constraints (transaction consistency, ...)
  - Theory (coalgebras, multi-stream interaction machines (Wegner), coordination theory, transaction theory, ...)



- Examples
  - Many expert systems (e.g. Hearsay II)
  - Many language compilers and IDEs
  - Systems with global database
  - GBBopen (based on Common Lisp)
  - Java Spaces
  - Blackboard Event Processor (JVM-based, JavaScript, Jruby)

# Example

## Data-Centered Systems (Repositories) – Evaluation

shared everything !

- Advantages



- Disadvantages



# Example

## Data-Centered Systems (Repositories) – Evaluation

shared everything !

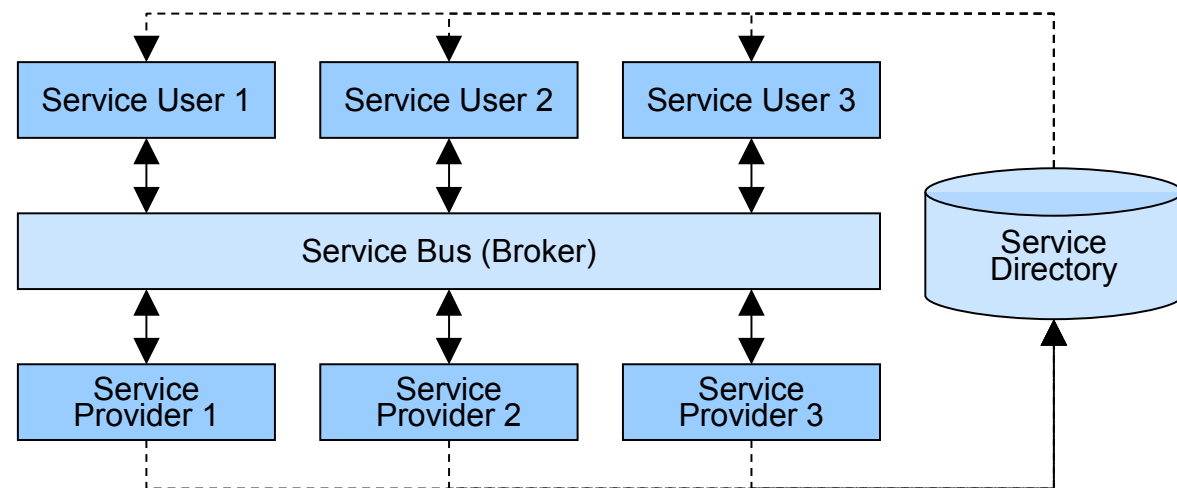
- Advantages
  - Scalability
    - Easy to add more knowledge sources
    - Knowledge sources can run in parallel and are synchronized through the central repository
  - Separation of concerns (problem partitioning)
    - Each knowledge source performs separate function
    - Each knowledge source solves part of the problem
  - Coupling
    - Loose coupling between knowledge sources
  - Modifiability
    - Knowledge sources can be modified independently
- Disadvantages
  - Scalability
    - Blackboard becomes bottleneck with too many knowledge sources
  - Coupling
    - Tight coupling between knowledge sources and blackboard
  - Understandability/Analyzability
    - Difficult to analyze – non-deterministic behavior
    - System behavior emerges from the behaviors of knowledge sources

# Example

## Independent Components (SOA)

bus and directory are optional !

- Independent Components – Service-Oriented Architecture (SOA)
  - Components (providers, users/consumers; opt. *bus*, *directory*)
  - Connectors (synchronous and asynchronous calls, messages)
  - Constraints (call style, ...)
  - Theory (CSP (C.A.R. Hoare),  $\pi$ -calculus (Millner, Parrow), ...)



- Examples
  - CORBA (IIOP)
    - ORB is bus
    - Naming Service is directory
  - DCOM (RPC)
  - Jini (RMI) – LUS as directory
  - Web Services (HTTP) – UDDI as directory
  - ESB (Mule, Apache ServiceMix)



# Example

## Independent Components (SOA) – Evaluation

bus and directory are optional !

- Advantages



- Disadvantages



## Independent Components (SOA) – Evaluation

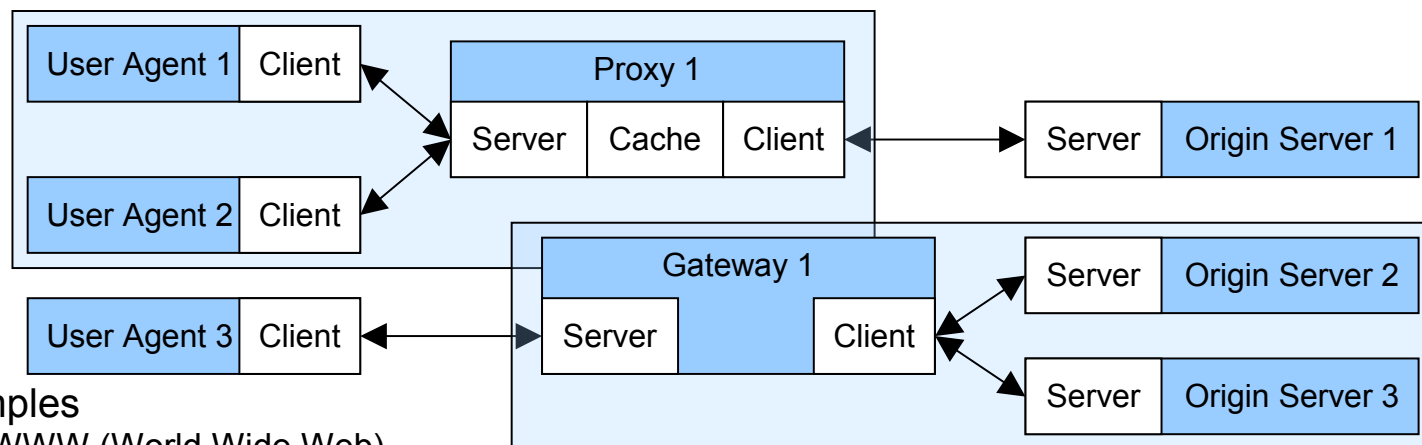
bus and directory are optional !

- Advantages
  - Coupling
    - Loose coupling – specially, if asynchronous calls are used
  - Interoperability
    - Service users can transparently call services implemented in disparate platforms using different languages
  - Modifiability
    - Loose coupling between service users and service providers
    - Services are self-contained and modular
  - Extensibility (adding new services is easy if bus is used)
  - Reliability (good fault tolerance, if asynchronous calls are used)
- Disadvantages
  - Performance
    - Network overhead
    - Overhead of intermediaries (like bus and service directory)
    - Message parsing overhead
  - Scalability (limited scalability, if synchronous calls are used)
  - Security (difficult to achieve end-to-end security – needs message level security mechanisms)
  - Testability (more complex – difficult to test)
  - Reliability (complex error recovery needed)

## Compound Style (REST)

architecture of web !

- Compound Style – REpresentational State Transfer (REST)
  - Components
    - Data (resources, resource identifiers, representations, representation metadata, resource metadata, control data)
    - Processing (origin servers, gateways, proxies, user agents)
  - Connectors (clients, servers, caches, resolvers, tunnels)
  - Constraints (data is not encapsulated, ...)
  - Theory (Fielding analysis)



- Examples
  - WWW (World Wide Web)
  - Twitter, Yahoo, Amazon S3 API
  - CMIP/CMOT (Common Management Information Protocol)
  - IBM WebSphere Portal REST API

## Compound Style (REST) – Evaluation

architecture of web !

- Advantages



- Disadvantages



## Compound Style (REST) – Evaluation

architecture of web !

- Advantages
  - Simplicity
    - No need for explicit resource discovery mechanism due to hyper-linking
  - Scalability (compared with architectures that require stateful servers)
  - Efficiency
    - Caching promotes network efficiency and fast response times
  - Evolvability
    - Support of document type evolution (such as HTML and XML) without impacting backward or forward compatibility
  - Extensibility
    - Allows support for new content types without impacting existing and legacy content types
- Disadvantages
  - Limited functionality
    - Selected uniform interface (HTTP) is difficult for handling real time asynchronous events
  - Scalability
    - Managing URI namespace can be cumbersome
    - Can impact network performance by encouraging more frequent client-server requests and responses
  - Visibility (in case code-on-demand is used to extend the client)

# Emergent Architecture – Big Ball of Mud !

- Emerges from
  - Throwing away code, Piecemeal growth, Keep-it-Working,
  - Shearing layers, Sweeping it under the rug
- Forces corresponding to emergence
  - Time – designing architecture takes time
  - Cost – designed architecture costs and is long-time investment
  - Experience and skill – designing architecture requires know-how
  - Complexity and scale of the problems
  - Change – predicting future change requires vision and courage
  - Organization – architecture reflects organization (Conway's law)

Complexity increases rapidly until it reaches a level of complexity just beyond that with which we can comfortably cope

Cunningham

- Advantages



- Disadvantages



Organizations which design systems are constrained to produce designs which are copies of the communication structures of these organizations (Conway 1968)

# Emergent Architecture – Big Ball of Mud !

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  - Organization – architecture reflects organization (Conway's law)
- Advantages – **mostly business concerns !**
  - Quick to make → Time-to-Market
  - Cheap to make → Cost vs. Benefit
  - Does not need governance – just emerges
  - Does not need skills
- Disadvantages – **mostly IT concerns !**
  - Maintainability – difficult and costly to maintain
  - Modifiability – hard and dangerous to change
  - Testability – difficult to test

Complexity increases rapidly until it reaches a level of complexity just beyond that with which we can comfortably cope

Cunningham

Organizations, which design systems, are constrained to produce designs, which are copies of the communication structures of these organizations (Conway 1968)

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Quality mean doing it right when  
no one is looking

Henry Ford

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# Categories of Software Quality Attributes

... characteristics of software that affect its quality – ability to satisfy stated and implied needs

- CMU SEI

- End User's View
  - *Functionality*
  - Interoperability
  - *Security*
  - *Performance (Efficiency)*
  - *Resource Efficiency*
  - Availability and *Reliability*
  - *Recoverability*
  - *Usability*

- Developer's View
  - Modifiability
  - *Portability (Extensibility)*
  - Reusability
  - Integrability
  - *Testability*

- Business's View
  - Time-to-Market
  - Cost vs. Benefits
  - Projected Life-Time
  - Targeted Market
  - Integration with Legacy
  - Roll-out (Roll-back) Schedule

- ISO/IEC 9126

- End User's View
  - *Functionality*
    - Suitability, Accuracy, Interoperability, *Security*
  - *Reliability*
    - Maturity, Fault Tolerance, *Recoverability*
  - *Usability*
    - Understandability, Learnability, Operability, Attractiveness
  - *Efficiency*
    - *Time Behavior, Resource Utilization*

- Developer's View
  - Maintainability
    - Analyzability, Changeability, Stability, *Testability*
  - *Portability*
    - Adaptability, Installability, Co-Existence, Replaceability

- Business's View

??? MISSING ???

# Quality Attribute Tradeoff Points

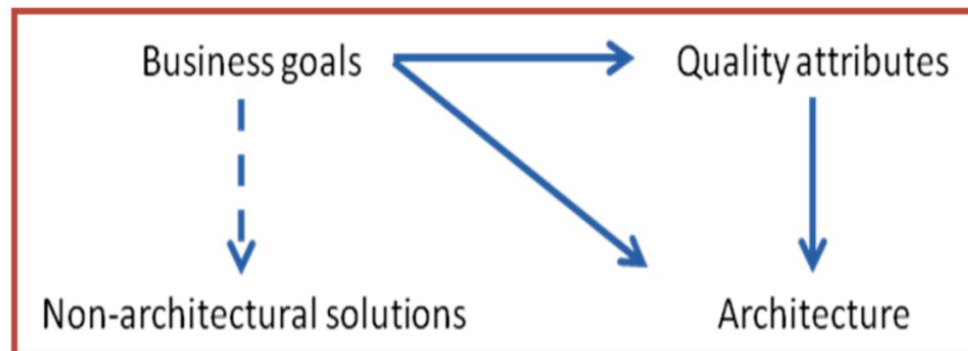
You can't eat your cake and have it too !

	Availability	Efficiency	Flexibility	Integrity	Interoperability	Maintainability	Portability	Reliability	Reusability	Robustness	Testability	Usability
Availability								+		+		
Efficiency			-		-	-	-	-		-	-	-
Flexibility		-		-		+	+	+		+		
Integrity		-			-				-		-	-
Interoperability		-	+	-			+					
Maintainability	+	-	+					+			+	
Portability		-	+		+	-			+		+	-
Reliability	+	-	+			+				+	+	+
Reusability		-	+	-				-			+	
Robustness	+	-						+				+
Testability	+	-	+			+		+				+
Usability		-								+	-	

# Architecture-Centric Methods

CMU SEI

- A Family of Scenario-Driven and Quality Attribute Driven Development Methods
  - Software Architecture Analysis Method (SAAM)
  - Architecture Tradeoff Analysis Method (ATAM)
    - To assess the consequences of architectural decision alternatives in light of quality attribute requirements – uses scenarios
  - Quality Attribute Workshop (QAW)
  - Cost-benefit Analysis Method (CBAM)
  - Active Reviews for Intermediate Design (ARID)
  - Attribute-Driven Design (ADD)
  - Pedigree Attribute eLicitation Method (PALM)
    - To elicit and capture business goals that lie behind the development of software-intensive system



# Architecture Tradeoff Analysis Method (ATAM)

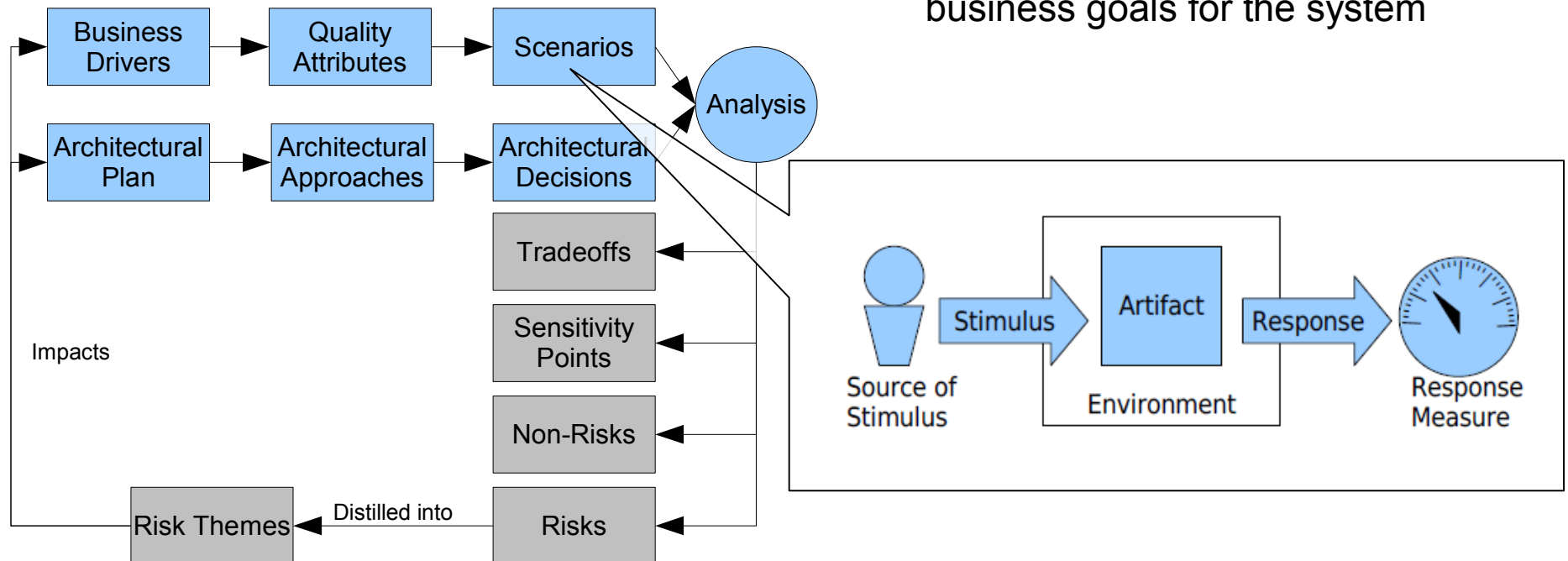
CMU SEI

- Input

- A set of identified architectural approaches
- “utility tree” – driving architectural requirements
- The set of scenarios mapped onto architecture

- Output

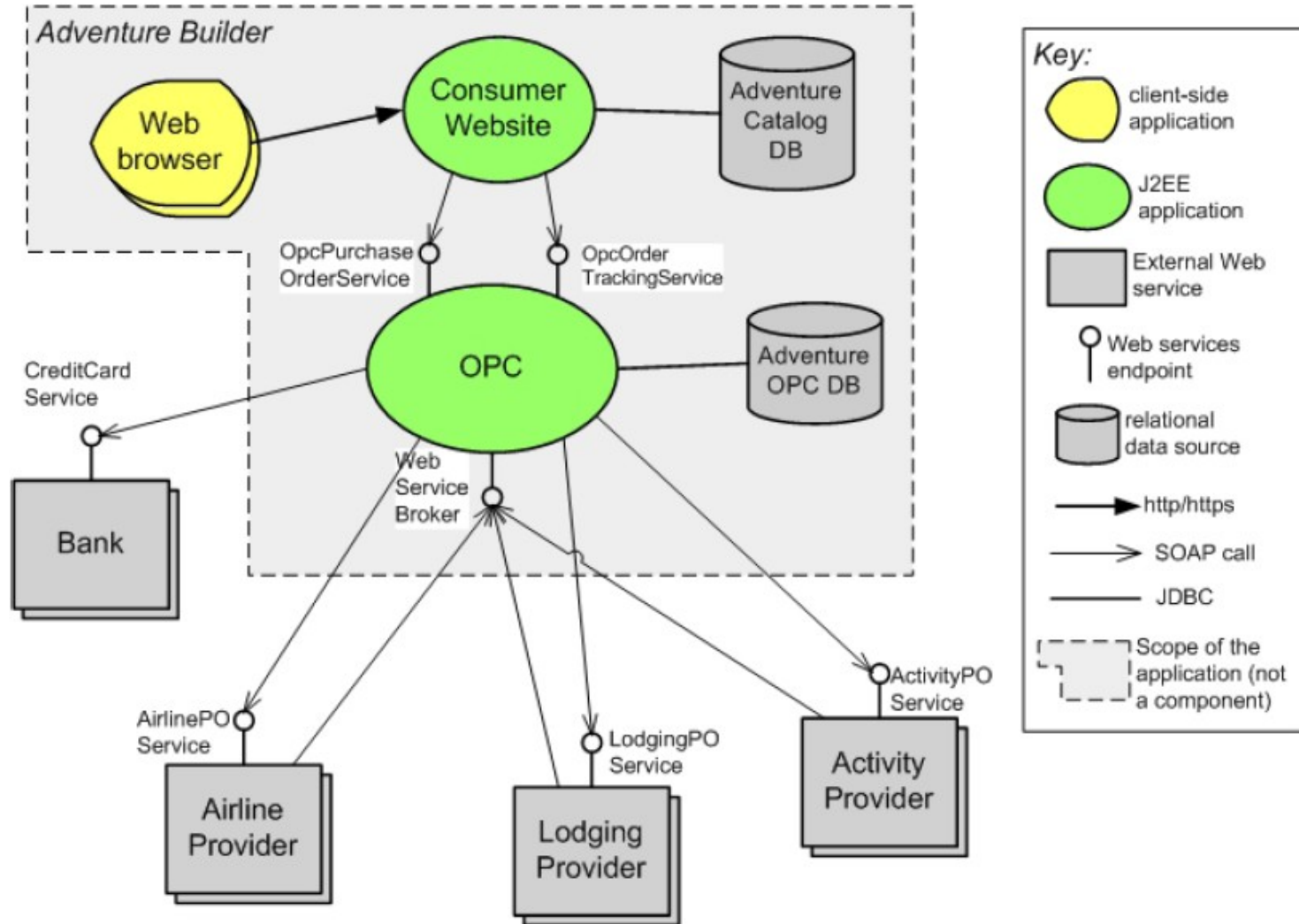
- A set of quality-attribute specific questions and responses
- A set of identified risks
- A set of identified non-risks
- A set of risk themes that threaten to undermine the business goals for the system



# Example

## Travel Agency System Architecture

CMU SEI



# Example

## SOA Quality Attribute Scenario (Modifiability)

CMU SEI

Quality Attribute	Scenario
...	...
<b>Scenario 2 Modifiability</b>	<ul style="list-style-type: none"><li>• <b>(Source)</b> Business Analyst/Customer</li><li>• <b>(Stimulus)</b> Add a new airline provider that uses its own Web services interface.</li><li>• <b>(Artifact)</b> OPC (Order Processing Center)</li><li>• <b>(Environment)</b> Developers have already studied the airline provider interface definition.</li><li>• <b>(Response)</b> New airline provider is added that uses its own Web services.</li><li>• <b>(Response Measure)</b> No more than 10 person-days of effort are required for</li></ul>
...	...

## SOA Quality Attribute Scenario Analysis

CMU SEI

### Analysis for Scenario 2

<b>Scenario Summary</b>	A new airline provider that uses its own Web services interface is added to the system in no more than 10 person-days of effort for the implementation.
<b>Business Goal(s)</b>	Permit easy integration with new business partners.
<b>Quality Attribute</b>	Modifiability, interoperability
<b>Architectural Approaches and Reasoning</b>	<ul style="list-style-type: none"><li>• Asynchronous SOAP-based Web services</li><li>• Interoperability is improved by the use of document-literal SOAP messages for the communication between OPC and external services.</li><li>• Adventure Builder runs on Sun Java System Application Server Platform Edition V8.1. This platform implements the WS-I Basic Profile V1.1, so interoperability issues across platforms are less likely to happen.</li></ul>
<b>Risks</b>	The design does not meet the requirement in this scenario, because it assumes that all external transportation providers implement the same Web services interface called 'AirlinePOService' (as shown in Figure 10 and Figure 11). The design does not support transportation providers that offer their own service interface.
<b>Tradeoffs</b>	The homogenous treatment of all transportation providers in OPC increases modifiability. However, intermediaries are needed to interact with external providers that offer heterogeneous service interfaces, as in this scenario. These intermediaries represent a performance overhead, because they may require routing messages and extensive XML processing.

# Building a Software Architectural Style – REST

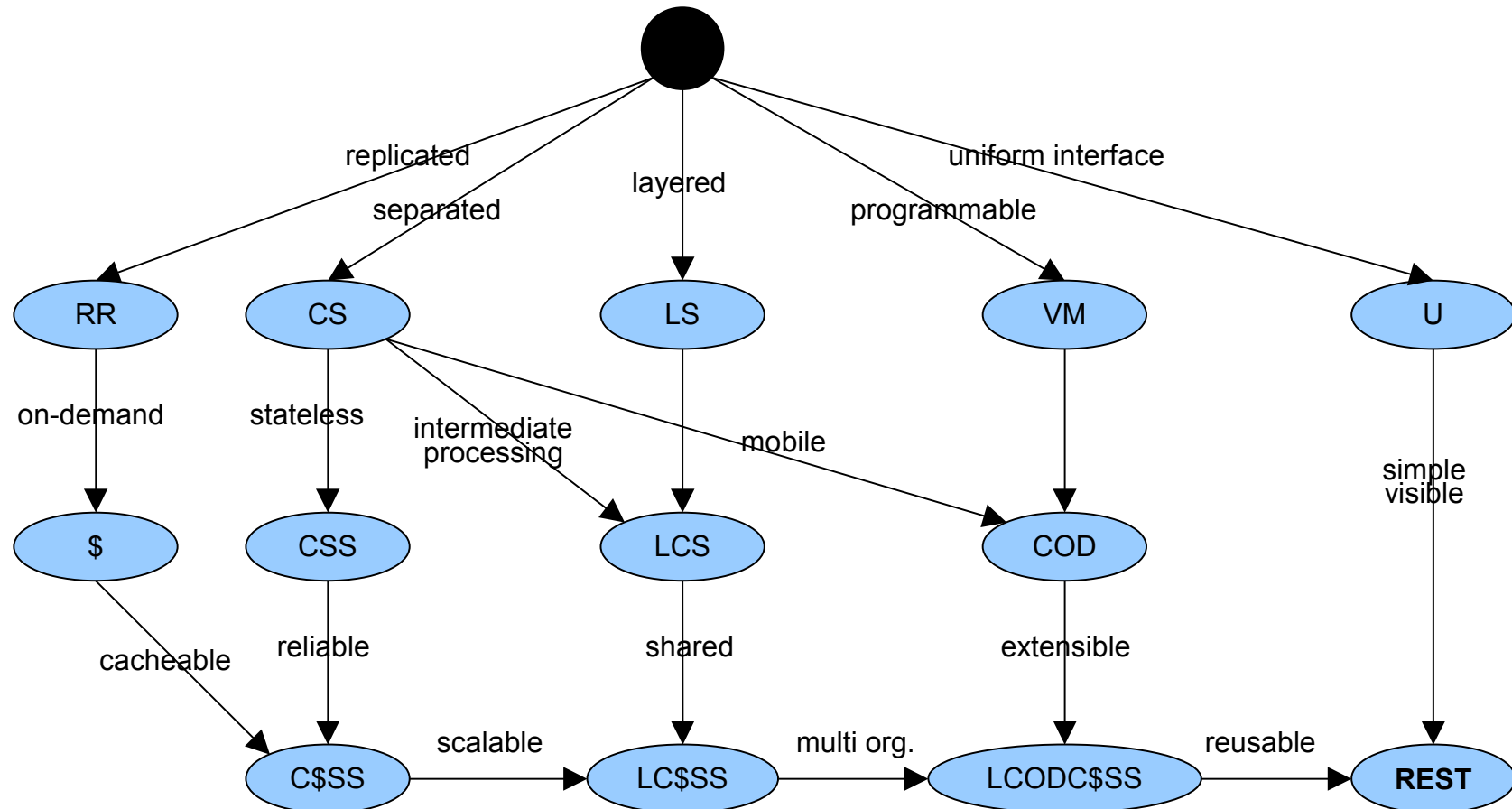
Fielding

- Desired Properties
  - Performance (network and user-perceived performance, and network efficiency)
  - Scalability
  - Simplicity
  - Modifiability (evolvability and extensibility, customizability and configurability, reusability)
  - Visibility
  - Portability
  - Reliability
- (Some) Constituent Architecture Styles
  - *Null Style* – an empty set of constraints
  - *Client-Server Style (CS)* – separation of concerns → modifiability, independent evolution
  - *Stateless Communication (S)* – session state in client → visibility, reliability, scalability
  - *Cache (\$)* – a variant of Replicated Repository (RR) → network efficiency
  - *Uniform Interface (U)* – a constrained set of well defined operations and content types  
→ simplicity, portability
  - *Layered System Style (LS)* – hierarchical decomposition, managing complexity  
→ simplicity, scalability
  - *{optional} Code-on-Demand (COD)* – simplified clients, but lower visibility  
→ modifiability (extensibility), simplicity



# Deriving REST from Constituents

Fielding



# Content

It is not about bits, bytes and protocols, but profits, losses and margins

Lou Gerstner

- What is Software Architecture
  - Design vs. Architecture, Early Views and Software Architecture Discipline
  - Software Architecture related Concepts and Terminology (IEEE 1741 | ISO/IEC 42010)
- Software Architectural Styles
  - Classification of Software Architectural Styles
  - Examples of Different Software Architectural Styles
- Software Quality Attributes
  - Categories of Software Quality Attributes
  - Quality Attribute Driven Design
- Value of Software Architecture
  - How to Evaluate Software Architectural Decisions
  - Value of Software Architecture
- Conclusions

# Value of Software Architecture

80% of time during maintenance is spent in design-rediscovery

Davidson, 2002

## Value of Architecture (Description)

- Users and operators of the system
  - Understand the external system behavior
  - Understand how to operate system
- Acquirers and owners of the system
  - Understand economical issues connected to the system
- Suppliers and developers of the system
  - Plan development and construction
  - Estimate system properties
- Builders and maintainers of the system
  - Understand the system internals

## Value that Architecture provides

- Users and operators of the system
  - High availability and performance
  - Survival from partial failure
- Acquirers and owners of the system
  - Easy integration into environment
- Suppliers and developers of the system
  - Speed and freedom
  - Guidance
  - Reuse of effort, skills and know-how
  - Ease of integration
- Builders and maintainers of the system
  - Survival of extension, adaptation, requirements changes, platform changes, etc.

# Measuring Value of Software Architecture

Focus on quality and cost will decrease  
Focus on costs and quality will decrease

W. E. Deming

- Value of Software Architecture
  - Cost of realization of risks compared to cost of architecture

$$value_{arch} = \sum_{i=1}^n \left( cost_{risk}(concern_i) \right) - cost_{arch}$$

- Value of Software Architecture Description
  - Cost of performing activities without architecture description compared to cost of documenting architecture

$$value_{arch.desc} = \sum_{i=1}^n \left( cost_{performing}(activity_i) \right) - cost_{arch.desc}$$

# Real Options for Valuation of Software Architecture

## Real option

- Applicable when
  - there is **Uncertainty**
  - there is **Business Change**
  - **New Information** should/could be exploited when it comes available
  - **Action** today should create
    - Possibility of **future design choices**
    - Possibility of **future value**

- is a right (opportunity), but not an obligation to make a decision in the future
- might be exercised multiple times (different from financial option)

- Strategic Value with Real Options

$$NPV_{strategic} = NPV_{traditional} + Value_{real\ options}$$

- Valuation of real options
  - Binomial lattices (decision trees with probabilities) & Markov processes
  - Monte Carlo simulations
- Qualitative Design Principles (Sullivan)
  - If the cost to effect a software architecture decision is sufficiently low, then the benefit of investing to effect it immediately outweighs the benefit of waiting, so the decision should be made immediately
  - All else being equal, the value of the option to delay software architecture decision increases with variance in future costs (risk)

# Example

## Valuation of Software Architecture Decision as Option

- Suppose that
  - At first step of the project it is possible to make a €1000 investment, which can with 50% probability be sufficient, but with 50% probability there will be need to invest €3000 more, to get business profit
  - and  $NPV_{\text{profit}}$  of business profit from the development will be €2200

- Then

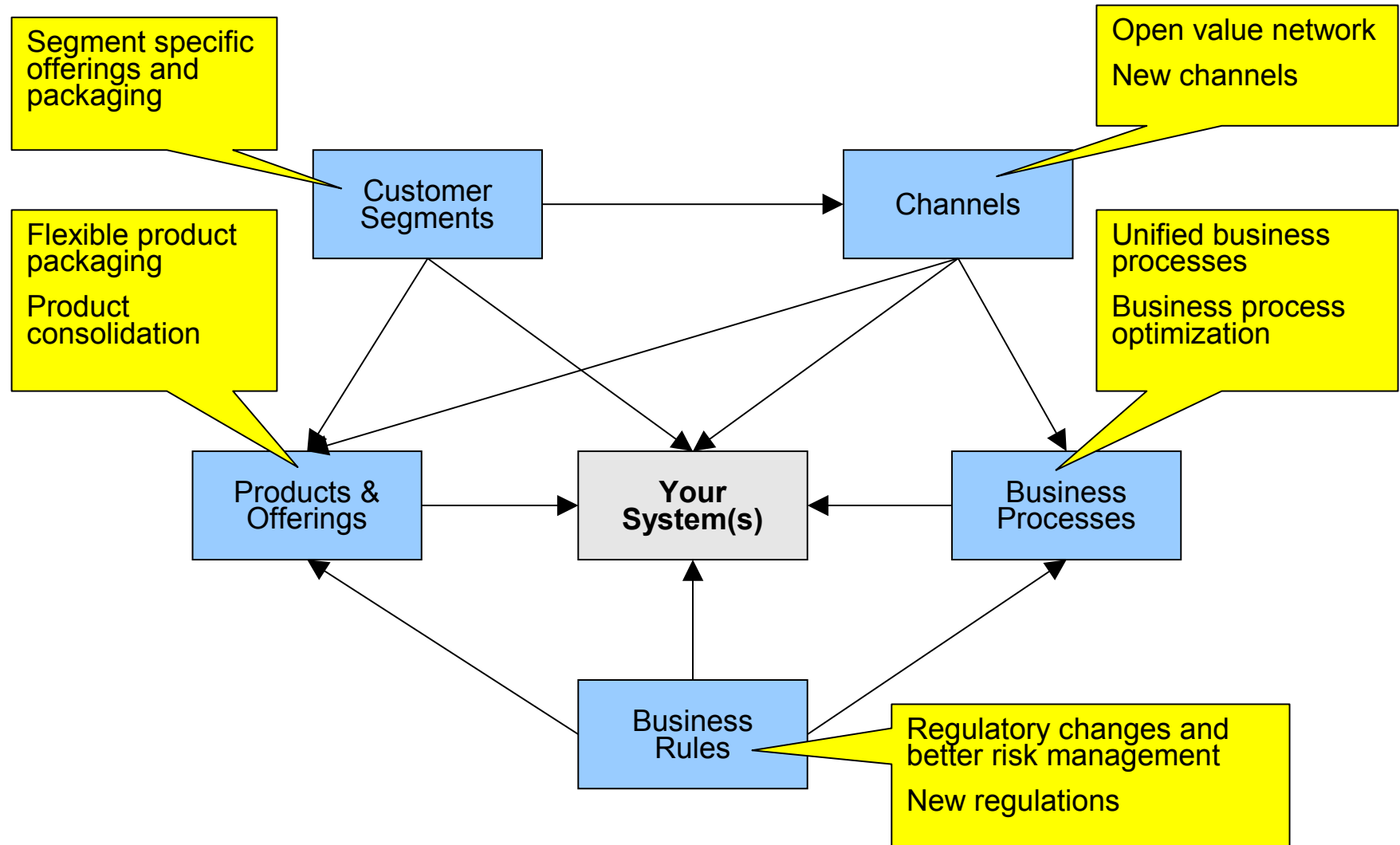
$$NPV_{\text{static}} = €2200 - (€1000 + 50\% * €3000) = - €300 \rightarrow \text{don't invest}$$

- But

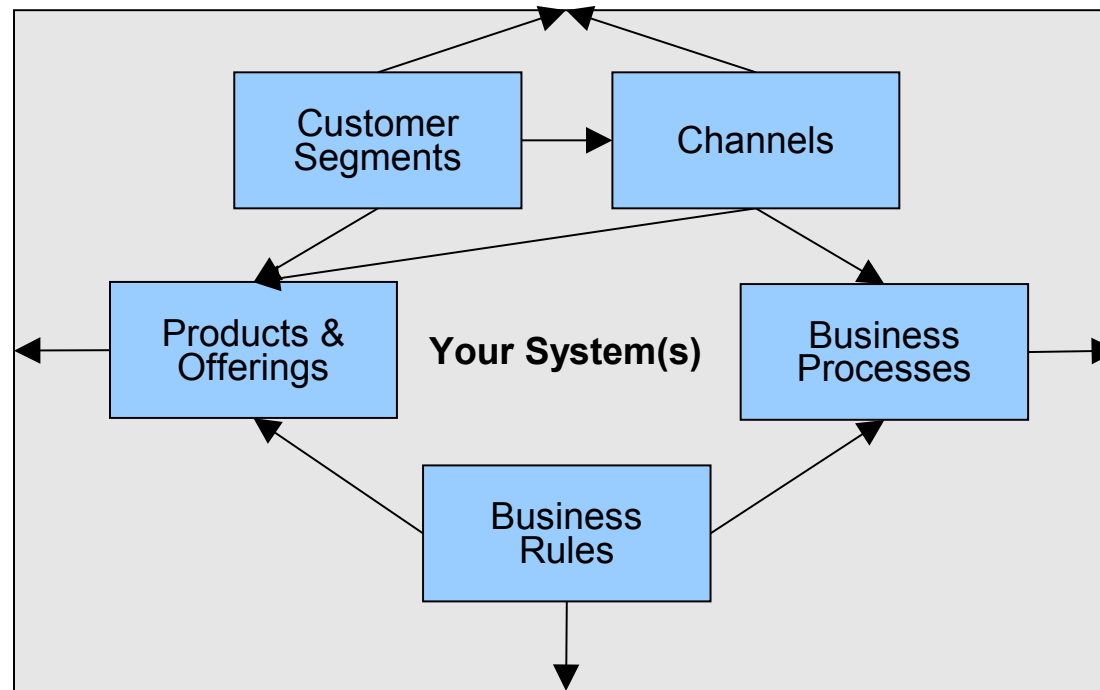
- As the project can be canceled, when worst case materializes, then
$$NPV_{\text{dynamic}} = 50\% * €2200 - €1000 = €100 \rightarrow \text{invest – good investment!}$$
(investment of €1000 creates option to get €2200 with 50% probability)

$$Value_{\text{option}} = NPV_{\text{strategic / dynamic}} - NPV_{\text{traditional / static}} = €400$$

# What Changes in Business and How Often ?



# Make it Easy to Change – Make it Explicit !





# Content

38. The architect concerns himself with the depth and not the surface, with the fruit and not the flower

Lao Tsu (by Philippe Kruchten)

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# Conclusions <sub>1</sub>

Architecture is not about documenting, but about understanding !

- Value of (Software) Architecture
  - As fundamental conception of (software) system, architecture allows us to reason (answer questions) about the (software) system
  - As specific architectural styles address certain concerns (cause certain properties/qualities) of (software) systems, architecture allows us to address concerns (achieve required properties or qualities) of (software) systems
- Value of Architecture Description
  - As document, it provides guidance for constructing and evolving the (software) system, and allows us to record and communicate our knowledge and decisions about the (software) system architecture
  - As model, it allows us to reason (answer questions) about the (software) system architecture
- Economic Value of Architecture
  - Architecture creates choices/options, which have value – **designing and building an architecture is an investment activity**

# Conclusions <sub>2</sub>

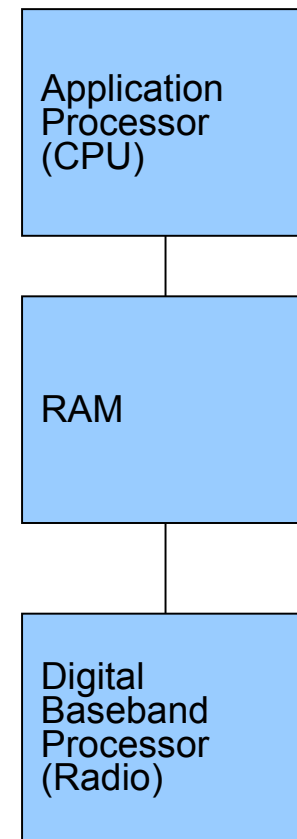
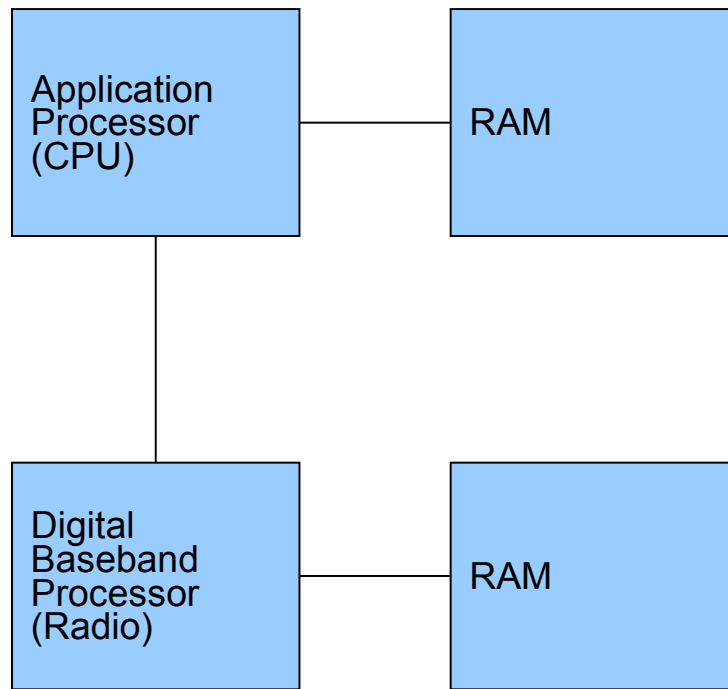
Find out who are your business counter-parties and communicate !

- Have rationale for your architecture
  - Connect your architecture descriptions (especially decisions) to the business goals and requirements
- Speak business language
  - Show the value (payback) of investments into architecture
- Gather data from development and operation
  - You will need this data to build a business case for architecture (to show the value of architecture)
- Find out from business
  - What will (or could) change
  - How probable and how frequent is the change
- Separate what will change from what will not, and group together things that change with same rate

# Example

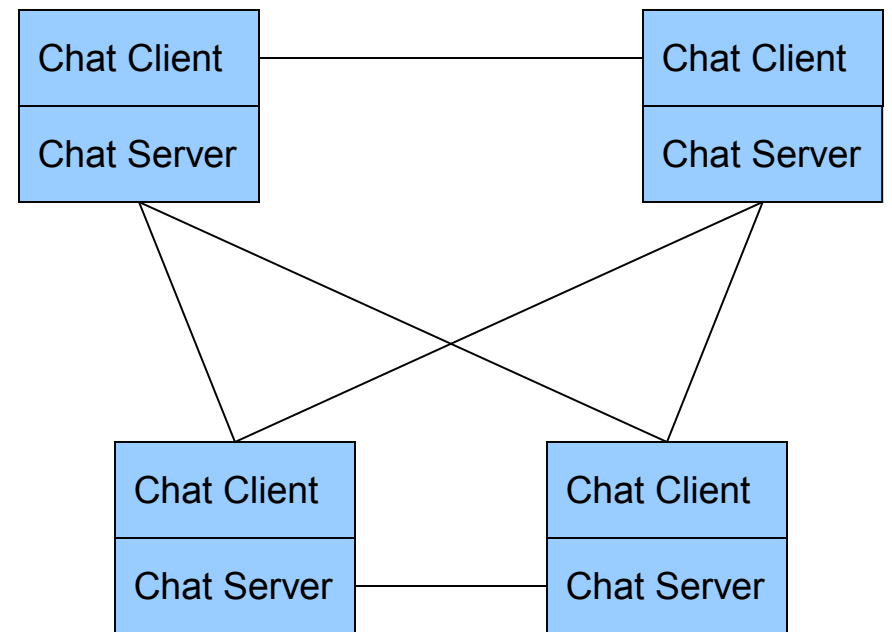
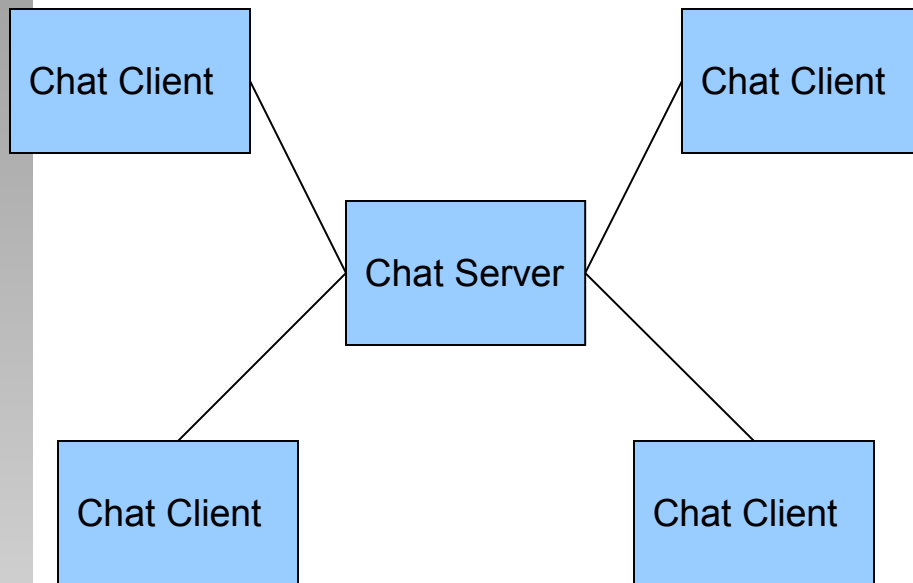
## Two Smart-phone Architectures

Do you know which one is yours ?  
Do you care or should you care ?  
Who cares which one is used ?



## Two Chat Architectures

Which one you prefer to build ... or to use ?



17. The architect doesn't talk, she acts. When this is done, the team says, "Amazing: we did it, all by ourselves!"

Lao Tsu (by Philippe Kruchten)

**Thank You!**

# Terms (Glossary)

ISO/IEC 42010

Term	Definition
<b>architecture</b>	fundamental conception of a system in its environment embodied in elements, their relationships to each other and to the environment, and principles guiding system design and evolution
<b>architecture decision</b>	choice made from among possible options that addresses one or more architecture-related concerns
<b>architecture description</b>	collection of work products used to describe an architecture
<b>architecture model</b>	work product from which architecture views are composed
<b>architecture rationale</b>	explanation or justification for an architecture decision
<b>architecture view</b>	work product representing a system from the perspective of architecture-related concerns
<b>architecture viewpoint</b>	work product establishing the conventions for the construction, interpretation and use of architecture views
<b>architecture-related concern</b>	area of interest in a system pertaining to developmental, technological, business, operational, organizational, political, regulatory, social, or other influences important to one or more of its stakeholders
<b>environment</b>	context determining the setting and circumstances of developmental, technological, business, operational, organizational, political, regulatory, social and any other influences upon a system
<b>model correspondence</b>	relation on two or more architecture models
<b>stakeholder</b>	individual, team, organization, or class thereof, having concerns with respect to a system
<b>purpose</b>	<i>{one of system concerns}</i>
<b>system</b>	<i>{a conceptual entity defined by its boundaries}</i>

# Classification of Architectural Styles

Boxology – Shaw & Clements

Style	Constituent Parts		Control Issues		Data Issues		Control/Data Interaction
	Components	Connectors	Topology	Synchronicity	Topology	Continuity	Isomorphic Shapes
<b>Data Flow Architectural Styles</b>							
Batch Sequential	programs	data batches	linear	sequential	linear	sporadic	yes
Data Flow Network	transducers	data streams	arbitrary	asynchronous	arbitrary	continuous	yes
Pipes and Filters	filters	pipes	linear	asynchronous	linear	continuous	yes
<b>Call and Return Architectural Styles</b>							
Main Program / Subroutines	procedures	proc. calls	hierarchical	sequential	arbitrary	sporadic	no
Abstract Data Types	managers	static calls	arbitrary	sequential	arbitrary	sporadic	yes
Objects	managers	dynamic calls	arbitrary	sequential	arbitrary	sporadic	yes
Call-based Client Server	programs	calls or RPC	star	synchronous	star	sporadic	yes
Layered			hierarchical	any	hierarchical	sporadic	often
<b>Independent Components Architectural Styles</b>							
Event Systems	processes	signals	arbitrary	asynchronous	arbitrary	sporadic	yes
Communicating Processes	processes	message protocols	arbitrary	any but sequential	arbitrary	sporadic	possibly
<b>Data Centered Architectural Styles</b>							
Repository	memory, computations	queries	star	asynchronous	star	sporadic	possibly
Black-Board	memory, components	direct access	star	asynchronous	star	sporadic	no



# Viewtypes and Styles in Architecture Description

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- CMU SEI
  - The Module
    - Decomposition
    - Uses
    - Generalization
    - Layered
  - Component-and-Connector
    - Datastream
    - Call-Return
    - Shared-Data
    - Publish-Subscribe
    - Communicating Processes
  - Allocation
    - Deployment
    - Implementation
    - Work Assignment
- Others
  - RUP / Kruchten 4+1
    - Logical view (functionality)
    - Process view (performance, ...)
    - Deployment view (delivery)
    - Implementation view (management)
    - Use-Case view (consolidating)
  - Siemens Four Views
    - Conceptual
    - Module
    - Code
    - Execution
  - C4ISR Framework
    - Operational architecture
    - System architecture
    - Technical Architecture

# (Ontology of) Architectural Design Decisions

- Kinds of Architectural Design Decisions
  - Existence Decisions (*ontocrises*)
    - Structural decisions
    - Behavioral decisions
    - Ban or non-existence decisions (*anticrises*)
  - Property Decisions (*diacrises*)
    - Constraints
    - Design rules
    - Guidelines
  - Executive Decisions (*pericrises*)
    - Organizational decisions
    - Process decisions
    - Technology decisions
    - Tool decisions
- Attributes of Architectural Design Decisions
  - Epitome (the Decision itself)
  - Rationale (“why”)
  - Scope
  - State (idea, rejected, tentative/challenged, decided, approved)
  - Author, Time-Stamp, History
  - Categories (usability, security, ...)
  - Cost
  - Risk
- Relationships between Architectural Design Decisions
  - Constraints
  - Forbids (Excludes)
  - Enables
  - Subsumes
  - Conflicts with (mutually excluding)
  - Overrides
  - Comprises (is made of, decomposes into)
  - Is bound to (strong)
  - Is an alternative to
  - Is related to (weak)
  - Dependencies
- Relationship with External Artifacts
  - Traces to
  - Does not comply with

# Questions

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- Architecture
  - Does every software system has an architecture?
  - Which part of the software architecture assures the durability (guards against the erosion of system's architecture)?
  - Does software architecture description has an architecture?
- Architecture Styles
  - Which are good and bad properties of the data-base centric software architecture style?
  - Which architectural style elements of REST assure the scalability of software system?
  - Why asynchronous connections between components are preferred in the software systems which need high throughput/performance?
- Value of Architecture
  - Describe usages of an architecture description in the software engineering?
  - How you would calculate the monetary value of an architecture decision?